

# ROMAN CATHOLIC DIOCESE OF RALEIGH AND CATHOLIC CHARITIES

## STOCK DONATION INSTRUCTIONS

Gifts of stock may be made via electronic transfer. The Diocese of Raleigh maintains a brokerage account with Wells Fargo Advisors, LLC for the purpose of processing stock transfers to the Diocese for the benefit of the Diocese or any of its parishes, schools or agencies. **The Diocese cannot initiate stock transfers on your behalf. You must contact your broker directly. If the Diocese does not receive this form, we may be unable to identify electronically-transferred stock.**

**PLEASE PROVIDE ALL INFORMATION REQUESTED:**

### NOTICE OF STOCK DONATION:

Your Name: \_\_\_\_\_ Parish: \_\_\_\_\_

Your Address: \_\_\_\_\_  
(Street) (City) (State) (Zip)

Phone: \_\_\_\_\_ (work) \_\_\_\_\_ (home)

Name of stock and shares donated: \_\_\_\_\_

Please apply my gift towards: (BAA, Offertory, Capital Campaign, etc.)

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If securities are electronically transferred, the gift date is the date the securities enter our account at Wells Fargo Advisors, LLC. For hand-delivered securities to the Diocese or Wells Fargo Advisors, LLC, the gift date is the date received. If the securities are mailed to the Diocese, the gift date is the date of the mailing (recommend sending by registered mail). Stock market fluctuations after the date of delivery (as defined above) will not affect the charitable deduction for tax purposes.

Wells Fargo Advisors, LLC has instructions to sell stock as soon as possible (usually by the business day following their receipt of the stock). The actual net proceeds from the sale represent the amount that will be applied as you requested above. The net proceeds will be affected by the actual sale price, as well as a broker charge to process the transaction. Accordingly, there will be a variance between the charitable deduction amount and the net proceeds applied from the gift. The Diocese cannot be responsible for any variance in these amounts.

Please send copies of Pages 1 and 2 to:

Roman Catholic Diocese of Raleigh  
attn: Michael Dawson  
7200 Stonehenge Drive  
Raleigh, NC 27613  
919-821-9722; Fax 866-955-8461  
michael.dawson@raldioc.org

**A. ELECTRONIC TRANSFER BY BROKER**

**Please communicate the following information to your broker**

To: \_\_\_\_\_  
(Broker's Name & Company)

Please transfer \_\_\_\_\_ shares of \_\_\_\_\_ stock from my  
Account \_\_\_\_\_ to:

**Wells Fargo Advisors, LLC  
3105 Glenwood Ave. Suite 201  
Raleigh, NC 27612  
David Wiley – (919)571-2806  
ROMAN CATHOLIC DIOCESE OF RALEIGH  
ACCOUNT # 8288-0036  
DTC # 0141**

I / we hereby authorize the above stock transfer:

\_\_\_\_\_  
(Signature)                      (Date)                      (Signature)                      (Date)

**B: DIRECT DONATION OF CERTIFICATE**

1. If certificate is titled in the **name of the donor**:
  - a. Your signature(s) on the certificate **MUST** be medallion guaranteed. Please do not fill in any other information on the certificate. The medallion guarantee of your signature can be done at our Wells Fargo Advisors' office or at another financial institution (bank branches, brokerages, etc).
  - b. Include a "letter of intent" with your name, address, stock and shares and intent (e.g., donation to Diocese of Raleigh for the benefit of ..... (Bishop's Annual Appeal, St. Mary offertory, etc.)
  - c. Send by registered mail (or deliver) to the Diocese or deliver to a *Wells Fargo Advisors office*. *Do not deliver stock to a Wells Fargo Bank location.*
  - d. Send copy of this two-page completed form to the Diocese
  
2. If certificate is titled in the **name of the Diocese**:

Send by registered mail (or deliver) to the Diocese, along with this two-page completed form.

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